

AMC Advisory – Compliance & Risk Management Services

Corporate presentation



November 2022

A.M.C Milesi – AMC Advisory – www.amc-advisory.com





About me

- *20 years of experience in external audit (financial services) & Compliance (Consulting and industry)*
- *German, French and English – Italian if needed!*

Professional Certifications

- *Specialist Anti-Money-Laundering (ACAMS, 2016)*
- *Certified Fraud Examiner (CFE, 2013)*
- *Fellow Chartered Certified Accountant (ACCA, IFRS, 2008)*

Before AMC Advisory

- *eBay Europe & Rest of the World Lead for financial compliance (2013-2016)*
- *Syngenta Global Lead for financial compliance (2010-2013)*
- *Financial external auditor (Mazars, KPMG) & Transaction Services, (KPMG) – 2002-2010*

Clients

- *Private banks*
- *Asset & funds managers*
- *Retail banks*
- *“Big 4” firms (prudential audit assistance)*



3 lines of business entirely dedicated to the financial industry regulatory

Regulatory training

Switzerland & international specific requirements:

- Anti- Money Laundering (AML)
- Know Your Customer (KYC)/Transaction + Customer Due Diligence (CDD)
- Transactions monitoring
- Sanctions...

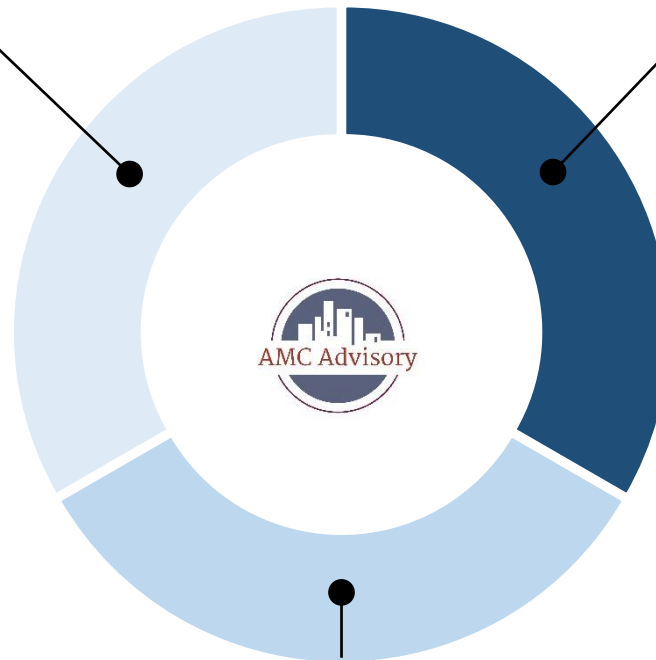
Regulatory consulting

Assess/implement

- AML related policies and processes
- KYC / CDD documentation review
- Mitigation of prudential audit findings

Assistance on regulatory audits

- Conduct or review findings from prudential audits
- AML audits



	Ad interim Head of Compliance	Ad interim services
	<ul style="list-style-type: none"> Act as Head Compliance ad interim (new teams/cover) 	<ul style="list-style-type: none"> Provides support for specific projects eg regulatory alignments to financial institutions
Corporate governance	<ul style="list-style-type: none"> Set up «new» corporate governance structure Establish policies & procedures Provide trainings to governing bodies 	<p>Other ad hoc include:</p> <ul style="list-style-type: none"> Supporting on findings remediations & liaising with external parties (auditors, ISO auditors, lawyers or regulators) Conducting prudential audits and investigations Conducting dedicated trainings Acting as a contact and coordinator for Due diligence purposes Attending the General Assembly as a Guest expert on Compliance matters Scouting for automated solutions where needed
Compliance & Risk Management	<ul style="list-style-type: none"> Establish a Quarterly Compliance monitoring program testing & Risk matrix (risk rating, criteria) Makes recommendations on various Compliance and regulatory topics Provide training 	
Reporting	<ul style="list-style-type: none"> Establish regular reporting on Compliance & Risk Management activity 	

Specialised AML staff trainings

International standards and framework with focus on every organization's needs:

- ½ days on dedicated topics
- Use of real case studies to apply theory to practice

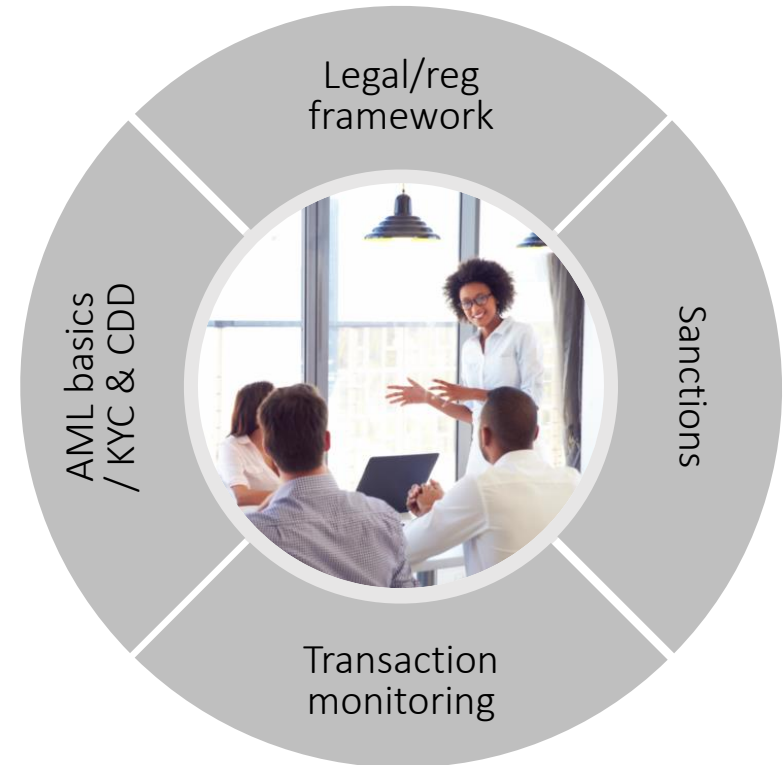
Each training includes a final assessment

Compliance Academy Training

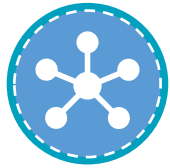
Compliance fundamentals for Compliance officers:

- 5 modules of 8 hours each
- Final assessment of 50 questions with 85% pass rate

Each training includes a final assessment



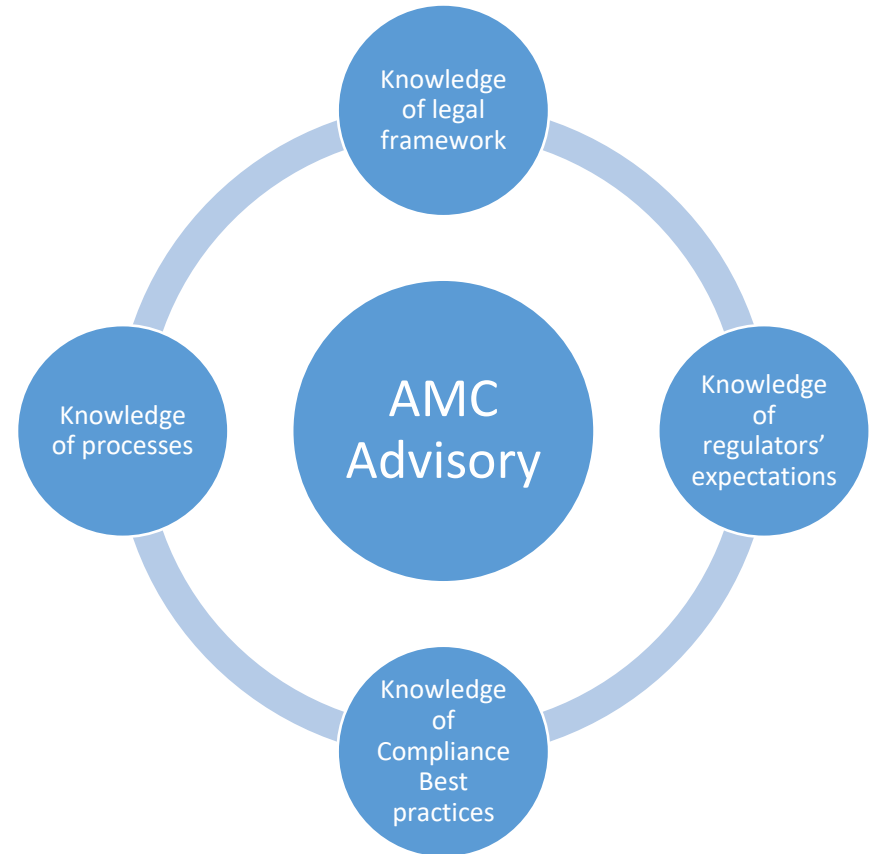
AMC Advisory **combines a full set of expertise** ranging from external to prudential audits, legal & regulatory knowledge to operational processes & internal controls necessary for Risk Management



POINT OF CONVERGENCE



AMC Advisory **provides a one-stop shop solution to Clients:** from assessment to remediation and adequate reporting to Management and governing bodies



**Responsive**

Remote working or on Clients 'premises



**Flexible
&
efficient**



Transparent costs with
clearly defined timelines



**One-stop-shop
knowledge pool**



ACAMS authorized
instructor for the
preparation to the
ACAMS certification &
trainings



AMC Advisory is a niche provider with immediate & tailored response

Audrey M.C.Milesi

audrey.milesi@amc-advisory.com

+79 859 33 53

www.amc-advisory.com

CHE-168.685.026 (MWST)

